



3627

# TRANSMITTAL FORM

Application Number	09/465,336
Filing Date	December 17, 1999
First Named Inventor	Vincent Ching Ping Li
Title	Method for Charting Financial Market Activities
Group Art Unit	3627
Examiner Name	Rena Dye
Attorney Docket Number	HKPC/196/US

## ENCLOSURES

- ☒ Response to Office Action ☐ Preliminary Amendment
- ☐ Information Disclosure Statement ☒ Postcard reflecting enclosures
- ☐ Other:

☒ It is hereby petitioned that any required extension of time be granted for filing the amendment. An extension of \_\_\_\_\_ month(s) having a fee of \$ \_\_\_\_\_ appears required.

☐ A check in the amount of \$ \_\_\_\_\_ is attached. Please credit any overpayment to Deposit Account 16-2563 of Alix, Yale & Ristas.

The Commissioner is hereby requested and authorized to charge Deposit Account 16-2563 of Alix, Yale & Ristas for any fee, not enclosed herewith, due for any reason in connection with the amendment or this or any other document accompanying the amendment, including (a) any filing fees under 37 CFR 1.16 for the presentation of extra claims and (b) any patent application processing fees under 37 CFR 1.17. *A duplicate copy of this sheet is attached.*

## SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or  
Individual name

Guy D. Yale

Reg. No. 29,125

Signature

Date

October 11, 2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited on the date below with the United States Postal Service as first class mail in an envelope addressed to "Commissioner for Patents, United States Patent and Trademark Office, Washington, DC 20231."

Typed or Printed Name Guy D. Yale

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Date: October 11, 2002

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